



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/755,966	01/05/2001	Joni Endo	15129.9

JOHN C. STRINGHAM  
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SALT LAKE CITY, UT 84111



CONFIRMATION NO. 5159

## FORMALITIES LETTER



\*OC000000005935350\*

Date Mailed: 04/04/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$474.
  - \$234 for 13 total claims over 20.
  - \$240 for 3 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1314.

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710.00  
130.00  
240.00  
234.00

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/14/2001 KZENDIE 00000023 097553965

01 FC:101  
02 FC:105  
03 FC:102  
04 FC:103

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OF COUNSEL



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Joni Endo, et al.

Serial No.:

09/755,966

Confirmation No.:

5159

Filed:

January 5, 2001

For:

MAPPING CLINICAL DATA WITH A  
HEALTH DATA DICTIONARY

BOX: MISSING PARTS

Assistant Commissioner for Patents

Washington, DC 20231

### RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Dear Assistant Commissioner:

In response to the Notice to File Missing Parts of Application mailed April 4, 2001, please find enclosed herewith the following items for filing in the United States Patent and Trademark Office in connection with the above-identified patent application:

- X A Declaration, Power of Attorney, and Petition executed by the inventors Joni Endo et al.
- X An Assignment conveying the invention to 3M Innovative Properties Company, including a Form PTO-1595 recordation cover sheet.

A Declaration Claiming Small Entity Status Pursuant to 37 C.F.R. § 1.9 and § 1.27.

       The present application claims entitlement to Small Entity Status under 37 CFR §§ 1.9 and 1.27

  X   A copy of the Notice to File Missing Parts of Application - Filing Date Granted.

  X   A Certificate of Deposit under 37 C.F.R. § 1.8.

The filing fee has been calculated as shown below.

			SMALL ENTITY		LARGE ENTITY	
FOR	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
BASIC FEE				\$355		\$710
TOT. CLAIMS	33-20=	11	X 9=		11X18=	234
IND. CLAIMS	6-3=	3	X 40		3 X80=	240
MULTIPLE DEPENDENT CLAIM			+135=		+270=	
			<b>TOTAL</b>		<b>TOTAL</b>	\$1184.00

  X   Credit Card Payment form in the amount of \$1,454 is enclosed to cover:

  X   The \$1,284.00 government filing fee.

  X   The \$40.00 recordation fee of the enclosed assignment.

  X   The \$130 surcharge fee pursuant to 37 C.F.R. § 1.16(e).

The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or to credit any overpayment to Deposit Account No. 23-3178.

  X   Any additional filing fees required under 37 C.F.R. § 1.16.

  X   Any patent application processing fees under 37 C.F.R. § 1.17.

The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-3178.

  X   Any filing fees under 37 C.F.R. § 1.16 for presentation of extra claims.

  X   Any patent application processing fees under 37 C.F.R. § 1.17.

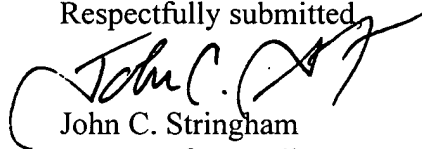
\_\_\_ The issue fee set in 37 C.F.R. § 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

X A duplicate copy of this letter is enclosed.

Please address all future correspondence in connection with this case to the attention of the undersigned.

Dated this 9 day of May, 2001.

Respectfully submitted



John C. Stringham  
Attorney for Applicant  
Registration No. 40,831



**022913**

PATENT TRADEMARK OFFICE

JCS:gnu

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EXPRESS MAIL LABEL NO. EL746188085US

PATENT APPLICATION

Docket: 15129.9

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

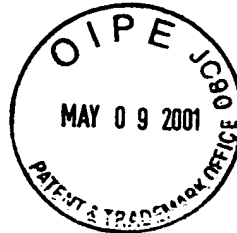
Joni Endo, et al.

Serial No.: 09/755,966

Confirmation No.: 5159

Filed: January 5, 2001

For: MAPPING CLINICAL DATA WITH A  
HEALTH DATA DICTIONARY



CERTIFICATE OF EXPRESS MAILING UNDER 37 C.F.R. § 1.10

"Express Mail" Mailing Label No. EL 746188085 US

I hereby certify that the following documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231, on this 9 day of May, 2001:

- Response to Notice to File Missing Parts of Application (3 pgs.) (in duplicate)
- Declaration, Power of Attorney, and Petition (5 pgs.)
- Assignment with Form PTO-1595 (5 pgs.)
- Copy of Notice to File Missing Parts of Application - Filing Date Granted (1 page)
- Credit Card Payment Form in the amount of \$1454.
- Postcard

Respectfully submitted,

A handwritten signature in black ink, appearing to read "John C. Stringham".

JOHN C. STRINGHAM  
Attorney for Applicant  
Registration No. 40,831

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